



Theory of In-Cloud Activation and Microphysical Quasi-Equilibrium in Deep Ascent

V. T. J. Phillips

Department of Physical Geography and Ecosystem Science, University of Lund, Lund, Sweden

LUNDS UNIVERSITET



Overview

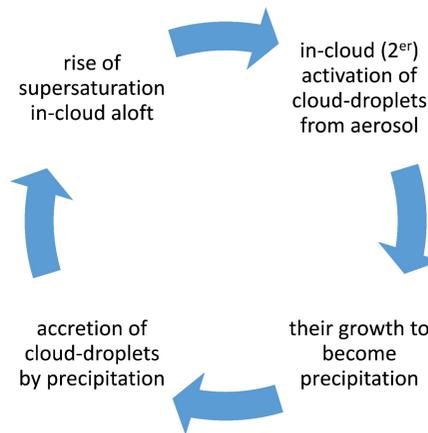
Ascent organizes the microphysical processes in clouds, as it is the source of supersaturation. The increase of supersaturation with height drives 'in-cloud activation', which is the source of most cloud-droplets aloft in deep convective updrafts.

Any cloud can be viewed as a system of feedback processes linking the various microphysical species of hydrometeors.

Here the microphysical quasi-equilibrium (QE) in an ascending adiabatic parcel of a single phase, either liquid or ice, is elucidated by an analytical theory in zero-D with drastic simplifications (Phillips 2022).

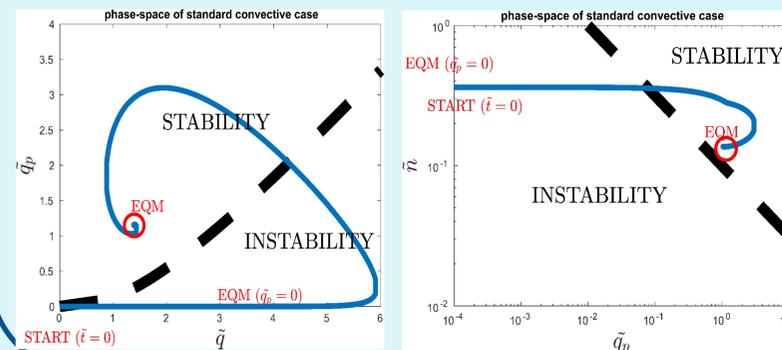
Description of in-cloud activation

- In-cloud activation of cloud-particles is the conversion of aerosols to cloud-particles during ascent
- Promoted by precipitation (Ochs 1978)



Results: Trajectory in Phase-Space and Stability

- Linear perturbation analysis yields the feedback parameter, revealing a neutral line in the phase-space.
- Standard case: $w = 1$ m/s at cloud-base (1 km MSL), 5 m/s (6 km MSL), normalized CCN of $c_1 = 1000$ cm⁻³ ($s = 1\%$, $k = 1$).



Results: QE and Contrast between Convective and Stratiform Clouds

- Theory predicts the orders of magnitude of convective-stratiform contrast observed
- w and cloud-liquid mass seen to differ by factors of 10² and 10, while $\beta \equiv 1/\omega \approx 2$

$$\tilde{q}_{eq} \approx \tilde{c}/\tilde{q}_{p,eq} = \tilde{c}^{1-\omega} \tilde{\varphi}^\omega \propto w^{1-1/\beta}$$

$$\tilde{q}_{p,eq} \approx \left(\frac{\tilde{c}}{\tilde{\varphi}}\right)^\omega \propto w^{1/\beta}$$

$$\tilde{n}_{eq} \approx \left(\frac{\tilde{f}}{\tilde{q}_{p,eq}}\right)^{\frac{1}{k+1}} \approx \left(\frac{\tilde{f}\tilde{\varphi}^\omega}{\tilde{c}^\omega}\right)^{\frac{1}{k+1}} \rightarrow n_{eq} \propto c_1^{1/(k+1)} w^{k-\omega} (dw/dz)$$

Description of Model

dimensionless (tilde symbols) mixing ratios for mass (\tilde{q}, \tilde{q}_p)

$$\frac{D\tilde{q}}{D\tilde{t}} \approx \tilde{c} - \tilde{q}\tilde{q}_p$$

condensation accretion

$$\frac{D\tilde{q}_p}{D\tilde{t}} \approx \tilde{q}_p\tilde{q} - \tilde{\varphi}\tilde{q}_p^\beta$$

accretion sedimentation

in-cloud activation efficiency aerosol-activity parameter

$$\tilde{f} = \tilde{W}\tilde{A}$$

vertical acceleration parameter

dimensionless particle-number mixing ratio (\tilde{n})

$$\frac{D\tilde{n}}{D\tilde{t}} \approx \tilde{n}^{-k}\tilde{f} - \tilde{q}_p\tilde{n}^{k+1}$$

in-cloud activation sedimentation

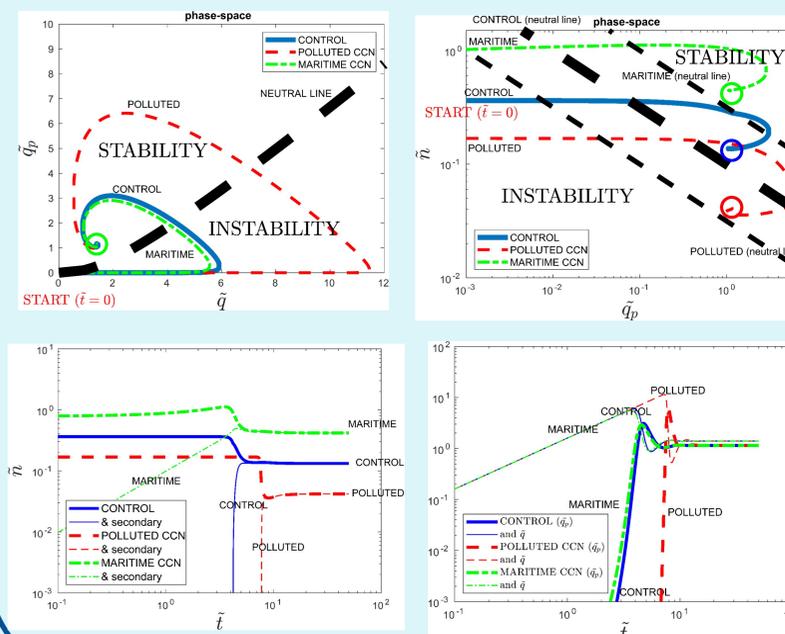
$$\tilde{c} = c_0 c_3 \tau_{fall}^2$$

condensation-precipitation efficiency

$$c_0 = c_6(T)w$$

condensate rate (kg/kg/sec) ascent (m/s)

Results: Sensitivity to Aerosol Conditions



Conclusions

- Prolonged in-cloud droplet activation during deep ascent is predicted here, and involves precipitation depleting cloud-liquid, which causes an inexorably increasing supersaturation.
- In-cloud activation is triggered by the onset of precipitation during sufficient ascent, with ascent only needing to exceed twice that at cloud-base.
- Feedbacks are initially positive, with explosive growth of the system state until it attains a realm of negative feedbacks and stability. Quasi-equilibria are reached (flow-charts above).
- Stratiform-vs-convective contrast adequately predicted.

References and Acknowledgements

Ochs, H., 1978: Moment-conserving techniques for warm cloud microphysical computation. Part II. Model testing and results. *J. Atmos. Sci.*, **35**, 1959-1973

V. T. J. Phillips, 2022: Theory of in-cloud activation of aerosols and microphysical quasi-equilibrium in a deep updraft. *J. Atmos. Sci.*, **79**, in press

Acknowledgments: Support is acknowledged from FORMAS (award: 2018-01795), VR (award: 2015-05104), US Department of Energy (award: DE-SC0018932) and Vinnova (award: 2020-03406).